Table 5
SUMMARY OF PATENT EXAMINING ACTIVITIES
(FY 1989 - 1993)

Patent Examining Activity	1989	1990	1991	1992	1993
PATENT APPLICATIONS FILED					
Utility[1]	150,418	162,708	166,765	171,623	173,619
Reissue	495	468	536	581	572
Plant	418	395	414	335	362
Design	11,975	11,140	10,368	12,907	13,546
Total Patent Applications Filed	163,306	174,711	178,083	185,446	188,099
FIRST ACTIONS					
Design	11,734	13,693	15,503	16,076	16,074
Utility, Plant, and Reissue	136,722	149,425	158,319	165,294	171,799
PCT/Chapter 1	3,758	4,644	5,680	7,247	7,459
PATENT APPLICATIONS ALLOWED[2]					
Design	7,363	9,679	10,394	11,013	11,800
Utility, Plant, and Reissue	98,472	96,672	102,014	103,093	104,351
Total Patent Applications Allowed	105,835	106,351	112,408	114,106	116,151
PATENT APPLICATIONS ABANDONED					
Design	2,555	3,991	4,427	4,843	4,681
Utility, Plant, and Reissue	47,218	45,750	53,703	59,199	60,763
Total Plant Applications Abandoned	49,773	49,741	58,130	64,042	65,444
OTHER PATENT APPLICATIONS DISPOSED					
Statutory Invention Registrations	185	177	142	278	146
TOTAL PATENT APPLICATION DISPOSALS	155,793	156,269	170,680	178,426	181,741
PCT/CHAPTER II EXAMINATIONS COMPLETED	1,315	2,168	4,014	5,010	5,851
PATENTS ISSUED[3]					
Utility	95,831	88,974	91,822	99,405	96,676
Reissue	309	282	334	375	302
Plant	728	295	318	336	408
Design	5,844	7,176	9,386	9,612	9,946
Total Patents Issued	102,712	96,727	101,860	109,728	107,332
ALLOWED APPLICATIONS, ISSUE FEE NOT PAID[4]	3,285	3,476	4,854	4,781	5,778
PENDENCY TIME OF AVERAGE PATENT APPLICATION[5]	18.4	18.3	18.2	19.1	19.5
REEXAMINATIONS REQUESTED[6]	243	297	307	392	359
REEXAMINATION CERTIFICATES ISSUED[6]	206	224	200	243	293
PCT SEARCH REPORTS PREPARED[7]	3,469	4,218	6,000	6,586	8,714
PCT INTERNATIONAL APPLICATIONS RECEIVED BY USPTO AS RECEIVING OFFICE[7]	5,599	7,216	9,158	10,929	12,389
NATIONAL REQUIREMENTS RECEIVED BY USPTO AS RECEIVING OFFICE[7]	4,355	5,143	6,247	6,835	7,441
INTERNATIONAL PRELIMINARY EXAMINATION REPORTS	933	2,298	3,774	4,678	5,955
PATENTS RENEWED UNDER P.L. 102-204[8]	52,687	49,539	73,954	107,713	114,367
PATENTS EXPIRED UNDER P.L. 102-204[8]	12,416	12,060	19,134	28,603	38,475

1 Chemical, electrical, and mechanical applications.
2 "Allowed Patent Applications" are applications awaiting issuance (i.e., publication) as patents.
3 Excludes withdrawn numbers.
4 35 U.S.C. § 151.
5 Average time (months) between filing and issuance/abandonment of utility, plant, and reissue applications (excluding designs).
6 Reexamination was instituted on July 1, 1981, in accordance with provisions of P.L. 95-517.
7 PCT entered into force on January 24, 1978, and applications were accepted for filing beginning June 1, 1978.
8 Renewal of patents under P.L. 96-517 and P.L. 97-247 now superseded by P.L. 102-204.

STATUTORY INVENTION REGISTRATIONS PUBLISHED

(FY 1989 - 1993)

Assignee	1989	1990	1991	1992	1993
Agriculture	0	0	0	1	0
Air Force	25	11	14	9	7
Army	33	38	35	25	26
Energy	21	14	17	11	9
HEW/HHS	0	0	1	0	0
Navy	16	14	11	20	13
TVA	1	0	0	2	0
USA*	0	1	4	6	2
Other Than U.S. Government	58	62	63	66	67
Total	154	141	145	140	124

*United States of America - no agency indicated in database.

Table 11

REEXAMINATION[1]

(FY 1989 - 1993)

	1989	1990	1991	1992	1993
REQUESTS FILED					
By patent owner	120	124	141	167	147
By third party	121	172	165	168	211
Commissioner ordered	2	1	1	57	1
Total	243	297	307	392	359
DETERMINATIONS ON REQUESTS					
Requests granted					
By examiner	215	243	267	316	311
By petition	7	4	5	5	10
Requests denied	29	36	23	25	29
Total	251	283	295	346	350
REQUESTS KNOWN TO HAVE RELATED LITIGATION	37	27	47	47	75
COURT ORDERED REEXAMINATIONS	0	0	0	0	0
AVERAGE AGE OF PATENTS (years)	5.40	4.60	5.20	5.00	5.00
AVERAGE RANGE OF PATENTS (years)	0.1-20.9	0.0-22.8	0.0-22.8	0.0-18.1	....[2]
AVERAGE CLAIMS PER REQUEST	15.20	15.30	15.40	15.40	....[2]
AVERAGE REFERENCES PER REQUEST	9.50	9.50	9.60	9.40	9.50
FILINGS BY DISCIPLINE					
Chemical	83	90	93	101	111
Electrical	87	113	115	186	138
Mechanical	73	94	99	105	110
Total	243	297	307	392	359

1 Reexamination was instituted on July 1, 1961, in accordance with provisions of P.L. 96-517.
2 Data for FY 1993 was not available.

Table 12

SUMMARY OF CONTESTED PATENT CASES

Table 1.
Summary of Patent Examining Activities
(As of September 30 of each fiscal year)

Patent examining activity	1994	1995	1996	1997	1998
Applications filed, total	**201,554**	**236,679**	**206,276**	**237,045**	**256,666**
Utility[1]	185,087	220,141	189,979	219,453	238,811
Reissue	430	647	573	606	693
Plant	606	516	564	714	586
Design	15,431	15,375	15,160	16,272	16,576
First actions:					
Design	16,832	18,223	15,465	15,038	16,836
Utility, plant, and reissue	168,722	176,220	179,391	193,635	192,849
PCT/Chapter 1	8,363	9,454	11,224	12,268	13,430
Patent application disposals, total	**189,646**	**189,520**	**197,244**	**212,763**	**220,333**
Allowed[2], total	**119,609**	**119,621**	**135,321**	**148,802**	**158,259**
Design	12,388	13,055	13,627	13,562	15,214
Utility, plant, and reissue	107,221	106,566	121,694	135,240	143,045
Abandoned, total	**69,909**	**69,796**	**61,819**	**63,878**	**61,994**
Design	4,977	3,336	3,461	2,511	1,892
Utility, plant, and reissue	64,932	66,460	58,358	61,367	60,102
Statutory invention registrations, total	**128**	**103**	**104**	**83**	**80**
PCT/Chapter II examinations completed	**6,918**	**8,040**	**8,403**	**11,582**	**12,223**
Patents issued[3], total	**113,268**	**114,241**	**116,875**	**122,977**	**154,579**
Utility	101,270	101,895	104,900	111,979	139,298
Reissue	347	294	291	267	284
Plant	513	390	338	400	577
Design	11,138	11,662	11,346	10,331	14,420
Allowed applications, issue fee not paid[4]	5,883	5,294	5,408	5,599	6,853
Pendency time of average patent application[5]	19.0	19.2	20.8	22.2	23.8
Reexamination requests	379	392	418	376	350
Reexamination certificates issued	309	281	298	334	317
PCT search reports prepared	10,813	10,440	11,078	12,048	12,859
PCT international applications, USPTO as receiving office	14,265	15,941	20,106	22,767	27,138
National requirements, USPTO as receiving office	9,076	10,582	11,662	13,858	17,305
International preliminary examination reports	8,005	7,456	7,571	11,738	12,003
Patents renewed under P.L. 102-204[6]	121,104	140,512	408,944	138,695	135,462
Patents expired under P.L. 102-204[6]	38,859	48,604	60,392	54,485	41,063

[1]Utility patents include chemical, electrical and mechanical applications.
[2]"Allowed Patent Applications" are applications awaiting issuance (i.e., publication) as patents.
[3]Excludes withdrawn numbers.
[4]35 U.S.C. 151 (includes design applications).
[5]Average time (in months) between filing and issuance or abandonment of utility, plant, and reissue applications. This average does not include design patents.
[6]The provisions of P.L. 102-204 regarding the renewal of patents superceded P.L. 96-517 and P.L. 97-247.

Table 13.

Reexamination

(FY 1994 - FY 1998)

Activity	1994	1995	1996	1997	1998
Requests filed, total	**379**	**392**	**418**	**376**	**350**
By patent owner	150	138	194	157	168
By third party	227	253	223	215	178
Commissioner-ordered	2	1	1	4	4
Determinations on requests, total	**370**	**398**	**414**	**391**	**348**
Requests granted:					
By examiner	334	372	386	357	315
By petition	6	-	8	4	2
Requests denied	30	26	20	30	31
Requests known to have related litigation	**77**	**100**	**89**	**65**	**66**
Filings by discipline, total	**379**	**392**	**418**	**376**	**350**
Chemical	102	101	127	123	120
Electrical	146	131	127	100	94
Mechanical	131	160	164	153	136

- Represents zero.

TABLE 1

SUMMARY OF PATENT EXAMINING ACTIVITIES

(As of September 30 of each fiscal year)

PATENT EXAMINING ACTIVITY	1998	1999	2000	2001	2002
Applications filed, total	**256,666**	**278,268**	**311,807**	**344,717**	**353,394**
Utility /1, /2	238,850	259,618	291,653	324,211	331,580
Reissue /2	582	664	805	956	974
Plant /2	658	759	786	914	1,134
Design	16,576	17,227	18,563	18,636	19,706
Provisional Applications Filed /3	**41,622**	**54,727**	**78,963**	**86,123**	**89,537**
First actions					
Design	16,836	18,050	17,856	17,748	19,029
Utility, Plant, and Reissue	192,849	226,642	237,421	241,770	275,055
PCT/Chapter 1	13,430	14,316	16,331	17,972	19,460
Patent application disposals, total	**220,333**	**238,292**	**252,871**	**257,467**	**279,297**
Allowed patent applications, total /3	**158,259**	**171,685**	**182,888**	**183,394**	**189,191**
Design	15,214	16,305	16,688	16,526	17,377
Utility, Plant, and Reissue	143,045	155,380	166,200	166,868	171,814
Abandoned, total	**61,994**	**66,493**	**69,895**	**74,014**	**90,092**
Design	1,892	2,431	1,839	1,448	1,675
Utility, Plant, and Reissue	60,102	64,062	68,056	72,566	88,417
Statutory invention registration disposals, total	**80**	**114**	**88**	**59**	**14**
PCT/Chapter II examinations completed	**12,223**	**12,886**	**15,471**	**18,859**	**16,456**
Patents issued /4	**154,579**	**159,166**	**182,223**	**187,822**	**177,317**
Utility	139,298	142,856	164,490	169,576	160,843
Reissue	284	437	561	504	466
Plant	577	393	453	563	912
Design	14,420	15,480	16,719	17,179	15,096
Allowed applications, issue fee not paid /5	6,853	4,000	7,633	6,985	6,928
Pendency time of average patent application /6	23.8	25.0	25.0	24.7	24.0
Reexamination requests	350	385	318	296	272
Reexamination certificates issued	317	243	276	287	200
PCT search reports prepared	12,859	14,116	15,896	16,692	19,646
PCT international applications received by USPTO as receiving office	27,138	30,305	36,671	43,322	42,889
National requirements received by USPTO as designated/elected office	17,305	19,941	23,628	26,821	29,846
International preliminary examination reports	12,003	14,615	15,044	17,740	17,949
Patents renewed under P.L. 102-204 /7	135,462	156,414	206,255	205,117	194,143
Patents expired under P.L. 102-204 /7	41,063	52,289	47,958	49,077	53,724

1/ Utility patents include chemical, electrical and mechanical applications.
2/ Utility, Plant, and Reissue applications revised from 1996 - 2000 to reflect the latest actual counts in PALM.
3/ Allowed Patent Applications are applications awaiting issuance (i.e., publication) as patents.
4/ Excludes withdrawn numbers.
5/ 35 U.S.C. § 151 (includes design applications).
6/ Average time (in months) between filing and issuance or abandonment of utility, plant, and reissue applications. This average does not include design patents.
7/ The provisions of P.L. 102-204 regarding the renewal of patents superceded P.L. 96-517 and P.L. 97-247. FY 1999 column revised from FY 1999 report.

TABLE 13A

EX PARTE REEXAMINATION
(FY 1998 - FY 2002)

ACTIVITY	1998	1999	2000	2001	2002
Requests filed, total	**350**	**385**	**318**	**296**	**272**
By patent owner	168	173	137	144	121
By third party	178	181	172	150	140
Commissioner ordered	4	31	9	2	11
Determinations on requests, total	**348**	**367**	**338**	**342**	**272**
Requests granted:					
By examiner	315	327	320	263	262
By petition	2	1	2	2	1
Requests denied	31	39	16	77	9
Requests known to have related litigation	**66**	**62**	**80**	**80**	**52**
Filings by discipline, total	**350**	**385**	**318**	**296**	**272**
Chemical	120	138	96	90	87
Electrical	94	107	103	89	78
Mechanical	136	140	119	117	107

TABLE 13B

INTER PARTES REEXAMINATION
(FY 2000 - FY 2002)

ACTIVITY	2000	2001	2002
Requests filed, total	**-**	**1**	**4**
Determinations on requests, total	**-**	**-**	**5**
Requests granted:	-	-	5
By examiner	-	-	-
By petition	-	-	-
Requests denied	-	-	-
Requests known to have related litigation	**-**	**-**	**-**
Filings by discipline, total	**-**	**1**	**4**
Chemical	-	1	2
Electrical	-	-	-
Mechanical	-	-	2

Performance and Accountability Report Fiscal Year 2007
Other Accompanying Information

Table of Contents | Management | Financial | Auditor | IG | Other

TABLE 1: SUMMARY OF PATENT EXAMINING ACTIVITIES (FY 2003 - FY 2007)

PRELIMINARY FOR FY 2007 [1]

Patent Examining Activity	2003	2004	2005	2006	2007
Applications filed, total [2]	**355,418**	**378,984**	**409,532**	**445,613**	**467,243**
Utility [3]	331,729	353,319	381,797	417,453	438,576
Reissue	938	996	1,143	1,204	994
Plant	785	1,212	1,288	1,103	1,047
Design	21,966	23,457	25,304	25,833	26,626
Provisional Applications Filed [4]	**92,517**	**102,268**	**111,753**	**121,471**	**132,352**
First actions					
Design	19,013	17,328	20,108	23,291	29,029
Utility, Plant, and Reissue	283,111	288,315	297,287	320,349	367,953
PCT/Chapter	23,277	17,935	22,795	25,034	24,741
Patent application disposals, total	**303,635**	**304,921**	**298,838**	**332,535**	**362,227**

Allowed patent applications, total	**205,879**	**195,611**	**182,254**	**186,593**	**195,530**
Design	17,596	16,262	18,161	20,721	25,747
Utility, Plant, and Reissue	188,283	179,349	164,093	165,872	169,783
Abandoned, total	**97,745**	**109,295**	**116,564**	**145,912**	**166,690**
Design	1,569	1,471	1,332	2,125	2,661
Utility, Plant, and Reissue	96,176	107,824	115,232	143,787	164,029
Statutory invention registration disposals, total	**11**	**15**	**20**	**30**	**7**
PCT/Chapter II examinations completed	**21,005**	**19,439**	**12,594**	**7,295**	**5,336**
Applications Published [5]	**243,007**	**248,561**	**291,221**	**291,259**	**302,678**
Patents issued [6]	**189,590**	**187,170**	**165,483**	**183,187**	**184,377**
Utility	171,493	169,296	151,077	162,509	160,308
Reissue	394	343	195	500	546
Plant	1,178	998	816	1,106	979
Design	16,525	16,533	13,395	19,072	22,544
Pendency time of average patent application [7]	26.7	27.6	29.1	31.1	31.9
Reexamination certificates issued	193	138	223	329	367
PCT international applications received by USPTO as receiving office [2]	42,969	45,396	46,926	52,524	52,214

National requirements received by USPTO as designated/elected office [2], [8]	32,753	37,173	41,256	48,158	52,339
Patents renewed under Public Law (P.L.) 102-204 [9]	253,475	269,815	268,935	324,913	343,894
Patents expired under P.L. 102-204 [9]	57,770	63,552	67,534	72,654	67,122

Notes:

1: FY 2007 data are preliminary and will be finalized in the FY 2008 PAR. ***(back to text)***

2: FY 2006 application data has been updated with final end of year numbers. ***(back to text)***

3: Utility patents include chemical, electrical and mechanical applications. ***(back to text)***

4: Provisional applications provided for in Pub.L. 103-465. ***(back to text)***

5: Eighteen-month publication of patent applications provided for in the American Inventors Protection Act of 1999, Pub.L. 106-113. ***(back to text)***

6: Excludes withdrawn numbers. Past years' data may have been revised from prior year reports. ***(back to text)***

7: Average time (in months) between filing and issuance or abandonment of utility, plant, and reissue applications. This average does not include design patents. ***(back to text)***

8: FY 2005 data has been updated. ***(back to text)***

9: The provisions of Pub.L. 102-204 regarding the renewal of patents superceded Pub.L. 96-517 and Pub.L. 97-247. ***(back to text)***

< Previous Page | Next Page >

Is there a question about what the USPTO can or cannot do that you cannot find an answer for? Send questions about USPTO programs and services to the **USPTO Contact Center (UCC).** *You can suggest USPTO webpages or material you would like featured on this section by E-mail to the* **webmaster@uspto.gov**. *While we cannot promise to accommodate all requests, your suggestions will be considered and may lead to other improvements on the website.*

.| **HOME** | **SITE INDEX** | **SEARCH** | ***e*BUSINESS** | **HELP** | **PRIVACY POLICY**

Last Modified: 12/21/2007 05:56:33

Performance and Accountability Report Fiscal Year 2007
Other Accompanying Information

TABLE 13A: EX PARTE REEXAMINATION (FY 2003 - FY 2007)

Activity	2003	2004	2005	2006	2007
Requests filed, total	**392**	**441**	**524**	**511**	**643**
By patent owner	136	166	166	129	124
By third party	239	268	358	382	519
Commissioner ordered	17	7	-	-	-
Determinations on requests, total [1]	**381**	**419**	**537**	**458**	**594**
Requests granted:					
By examiner	360	408	509	422	575
By petition	1	-	2	5	2
Requests denied	20	11	26	31	17
Requests known to have related litigation	**109**	**138**	**176**	**229**	**369**
Filings by discipline, total	**392**	**441**	**524**	**511**	**643**
Chemical	124	130	138	118	133
Electrical	118	156	188	228	275
Mechanical	150	155	198	165	235

Notes:

1: Past years' data have been revised from prior year reports. ***(back to text)***

< Previous Page | Next Page >

Is there a question about what the USPTO can or cannot do that you cannot find an answer for? Send questions about USPTO programs and services to the **USPTO Contact Center (UCC).** *You can suggest USPTO webpages or material you would like featured on this section by E-mail to the* **webmaster@uspto.gov**. *While we cannot promise to accommodate all requests, your suggestions will be considered and may lead to other improvements on the website.*

. | **HOME** | **SITE INDEX** | **SEARCH** | ***e*BUSINESS** | **HELP** | **PRIVACY POLICY**

Last Modified: 12/21/2007 05:56:35